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Dock- No. B-3650 617089

Date: October 25, 2000

Commissioner of Patents and Trademarks Box New Patent Application Washington, D.C. 20231

Sir:

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s):

(1) William M. Clark, Jr. (2) James P. Baukus

(3) Lap-Wai Chow

NOTE:

Patent must be applied for in the name of all

of the actual inventor or inventors.

For: "IMPLANTED HIDDEN INTERCONNECTIONS IN A SEMICONDUCTOR DEVICE

FOR PREVENTING REVERSE ENGINEERING"

Enclosed are:

The Papers Required For Filing Date Under 37 CFR 1.53(b):

9 Pages of specification 1 Page of abstract 3 Pages of claims

2 Sheets of drawings (X) formal [] informal (Figs. 1-5)

[X] In addition to the above papers there is also attached Page of a Preliminary Amendment dated October 25, 2000.

Postcard Check for filing fee in the amount of \$710.00 Declaration/Power of Attorney (4 pages) Assignment Cover Sheet (1 page), Assignment document (2 pages), and Check for \$40.00

CERTIFICATION UNDER 37 CFR 1.106

I hereby certify that this paper and the documents referred to as enclosed therein are being deposited with the United States Postal Service in an Express Mail envelope with sufficient postage for Express Mailing on this date October 25, 2000 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number <u>EL052830140US</u> addressed to the:

> Commissioner of Patents and Trademarks Box New Patent Application Washington, D.C. 20231

> > Karyn Lao

(Typed or printed name of person mailing paper)

(Signature of person mailing paper)

NOTE: Each paper or fee referred to as enclosed herein has the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 CFR $1.1\bar{0}\,(b)$.

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2. Declaration o ath

[X] Enclosed

[X] original [] facsimile

executed by:

- [X] inventor(s)
- [] legal representative of inventor(s) 37 CFR 1.42 or 1.43
- [] joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached. 37 CFR 1.47.
 - [] petition and statement required by 37 CFR 1.47 also attached. See item 7 below for fee.
- [] Not Enclosed
 - [] Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all of the above named inventor(s). The declaration or oath, along with the surcharge required by 37 CFR 1.16(e) can be filed subsequently.
 - [] Showing that the filing is authorized. (Not required unless called into question. 37 CFR 1.41(d)).

NOTE: Where the filing is a completion in the U.S. of an international application under 35 U.S.C. 371(c)(4) then the declaration <u>must</u> be filed.

3. Assignment

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[X] An assignment of the invention to HRL Laboratories, LLC
(with separate cover sheet and separate check for \$40.00)

4. Certified Copy

[] A certified copy of Application from which priority is claimed.

NOTE: Must be referred to in oath or declaration. 37 CFR 1.55 and 163.

5. Fee Calculation

	CLAIMS	AS	FILED		
Number Fi	led		Number Extra	Rate	Basic Fee \$ 710.00
Total Claims	14 -20=		0 x	\$ 18.00	0
Independent Claims	3 -3=		0 x	\$ 80.00	0
Multiple Dependent Cla	aim(s), If Any		0 x	\$270.00	0

[]	Amendment	canceling	extra	claims	enclosed	Į
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[] Amendment deleting multiple dependencies enclosed

[] Fee for extra claims is not being paid at this time

NOTE: If the fee for extra claims are not paid on filing they must be paid or the claims canceled by amendment, prior to the expiration of the time period set for response by the Patent and Trademark Office in any notice of fee deficiency, $37 \ \text{CFR} \ 1.16(d)$.

Filing Fee Calculation \$ 710.00

6. Small Entity tement	, ~					
{ } Verified statement that this is a filing by a small entity under 37 CFR 1.9 and i.27.						
Filing Fee Calculation	(50% of above) \$					
NOTE: If a verified statement is file of payment of first fee then th on request. Notice of January	e excess fee paid will be refunded					
7. Fee Payment Being Made At This Time						
[] Not Enclosed						
[] No filing fee is submitted. This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.						
NOTE: Where the filing is a completion in the U.S. of an international application the fee \underline{must} be paid.						
[X] Enclosed						
[X] filing fee	\$_710.00					
[] recording assignment (\$40.00; 37 CFR 1.21(h)(i))	\$					
[] petition fee for filing by o all the inventors or person of the inventor where invent to sigm or cannot be reached 37 CFR 1.47 and 1.17 (h)	on behalf or refused					
Total	fees enclosed \$ 710.00					

8. Method of Payment of Fees

- [X] check in the amount of $$\frac{710.00}{}$
- [] charge account No. $\underline{12-0415}$ in the amount of \$ A duplicate of this transmittal is attached.

NOTE: Fees should be itemized is such a manner that it is clear for which purpose the fees are paid. 37 CFR 1.22(b).

9. Authorization to Charge Additional fees

- [X] The Commissioner is hereby authorized to charge the following additional fees which may be required to Account No. 12-0415:
 - [X] 37 CFR 1.16 (filing fees and presentation of extra claims)
 - [X] 37 CFR 1.17 (application processing fees)
 - [] 37 CFR 1.18 (issue fee at or before Mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b))

NOTE: 37 CFR 1.28(b) requires "Notification of any change in loss of entitlement to small entity status must be filed in the application...prior to paying... issue fee".

10. Instructions As To Overpayment

[X] Credit Account No. 12-0415 [] refund

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